#### AP3 Rec'd PC1/P10 08 MAY 2006

# PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 01017/41976 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (IF known) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

|  |  | 1  | PRIORITY DATE CLAIMED                          |  |  |  |
|--|--|--|--|--|--|--|
| PCT/US2004/  | <u>/037578</u>   | 12 November 2004                                     | 12 November 2003                               |  |  |  |
| COMPOSITIONS AND   | METHODS FOR  | REGULATION OF TUMOR NECR                             | ROSIS FACTOR-ALPHA                             |  |  |  |
| APPLICANT(S) FOR DO/   | EO/US  |  |  |  |  |  |
| Soo-Hyun Kim et al.  | to to the United Stat  | tes Designated/Elected Office (DO/EO/                | JS) the following items and other information: |  |  |  |
|  |  |  |  |  |  |  |
| 1. x This is a FIRST   | submission of items  | s concerning a submission under 35 U.S               | S.C. 371.                                      |  |  |  |
| 2. This is a SECOI   | ND or SUBSEQUE   | NT submission of items concerning a su               | ubmission under 35 U.S.C. 371.                 |  |  |  |
|  | ess request to begin<br>i), (6), (9) and (21) in                                       | national examination procedures (35 Undicated below. | I.S.C. 371(f)). The submission must            |  |  |  |
| 4. The US has bee  | en elected (Article 3  | 1).  | •  |  |  |  |
| 5. X A copy of the In  | ternational Applicati  | ion as filed (35 U.S.C. 371 (c)(2))                  |  |  |  |  |
| a. is attached   | a. is attached hereto (required only if not communicated by the International Bureau). |  |  |  |  |  |
| b. has been c  | b. has been communicated by the International Bureau.                                  |  |  |  |  |  |
| c. X is not requi  | red, as the applicati  | ion was filed in the United States Receiv            | ving Office (RO/US).                           |  |  |  |
| 6. An English lang   | uage translation of  | the International Application as filed (35           | i U.S.C. 371(c)(2)).                           |  |  |  |
| a. is attached   | hereto.  |  |  |  |  |  |
| b. has been p  | reviously submitted  | l under 35 U.S.C. 154(d)(4).                         |  |  |  |  |
| 7. X Amendments to   | the claims of the In   | ternational Application under PCT Artic              | le 19 (35 U.S.C. 371(c)(3))                    |  |  |  |
| a. are attache   | d hereto (required o   | only if not communicated by the Internat             | tional Bureau).                                |  |  |  |
| b. have been   | communicated by the  | he International Bureau.                             |  |  |  |  |
| c. have not be   | een made; however  | , the time limit for making such amendm              | nents has NOT expired.                         |  |  |  |
| d. X have not be   | een made and will n  | ot be made.  |  |  |  |  |
|  | _  | the amendments to the claims under PC                | CT Article 19 (35 U.S.C. 371(c)(3)).           |  |  |  |
| 9. An oath or decla  | aration of the invent  | or(s) (35 U.S.C. 371(c)(4)).                         |  |  |  |  |
| 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). |  |  |  |  |  |  |
| Items 11 to 20 below   | w concern docum  | nent(s) or information included:                     |  |  |  |  |
| 11. An Information   | 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.                    |  |  |  |  |  |
| 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.                              |  |  |  |  |  |  |
| 13. A preliminary a  | 13. A preliminary amendment.   |  |  |  |  |  |
| 14. x An Application Data Sheet under 37 CFR 1.76.   |  |  |  |  |  |  |
| 15. A substitute specification.  |  |  |  |  |  |  |
| 16. A power of attorney and/or change of address letter.   |  |  |  |  |  |  |
| 17. x A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.                               |  |  |  |  |  |  |
| 18. X A second copy of the published International Application under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |
| 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).                                  |  |  |  |  |  |  |
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### AP20 Rec'd FST/PTO 08 MAY 2005

PTO-1390 (Rev. 07-2005)
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| U.S. APPLICATION NOTE KNOWN SECONDRY  |                |   | INTERNATIONAL APPLICATION NO. PCT/US2004/037578                               |                | ATTORNEY'S DOCKET NUMBER 01017/41976 |                          |          |             |  |
|---|----------------|---|---|----------------|--------------------------------------|--------------------------|----------|-------------|--|
| 20. X Other items or information: Sequence statement pursuant to 37 CFR §§ 1.821(f); Paper copy of the sequence listing (pages 1-18); Return receipt postcard.  |                |   |   |                |                                      | nce                      |          |             |  |
|   |                | listing                                 | (pages 1-16), Return  | recei          | ipi posicard.                        |                          |          |             |  |
|   |                |   |   |                |                                      |                          |          |             |  |
| The foll  | owing fees hav | ve been submitt                         | ed  |                |                                      | CALCULATIONS PTO USEONLY |          |             |  |
| 21. x Basic national fee (37 CFR 1.492(a))  |                |   |   |                | \$300                                | \$ 300.                  |          |             |  |
| 22. x Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)   |                |   |   |                | \$ 0.                                | 00                       |          |             |  |
| All other situations \$200  23. X Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority. \$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400  All other situations \$500 |                |   |   |                | \$ 0.                                | 00                       |          |             |  |
|   | TOTAL OF 21, 2 | •                                       |   |                |                                      | \$ 300.                  | 00       |             |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |                |   |   |                |                                      |                          |          |             |  |
| Total Sheets  | Extra Sheets   |   | Number of each additional 50 or fraction thereof (round up to a whole number) |                |                                      |                          |          | 4           |  |
| 85 - 100 =  | /50 =          |   |   |                | x \$250.00                           | \$                       |          |             |  |
| Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |                |   |   | or declaration | \$                                   |                          |          |             |  |
| CLAIMS  | NL NL          | IMBER FILED                             | NUMBER EXTRA  |                | RATE                                 |                          |          |             |  |
| Total clair   | ns             | 44 - 20 = 24 x 50.00 1,200.00           |   | 00             |                                      |                          |          |             |  |
| Independent   |                | 5 - 3 =                                 | 2   | ×              | 200.00                               | 400.                     | 00       |             |  |
| MULTIPLE DEP  | ENDENT CLAIM(  | S) (if applicable)                      |   | +              |                                      |                          |          |             |  |
| TOTAL OF ABOVE CALCULATIONS = \$ 1,900.00   |                |   |   |                |                                      |                          |          |             |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |                |   |   |                |                                      |                          |          |             |  |
|   |                |   | •   |                | SUBTOTAL =                           | \$ 1,900.                | 00       |             |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |                |   |   |                |                                      |                          |          |             |  |
| TOTAL NATIONAL FEE =  |                |   | \$  | 1,900.00       | )                                    |                          |          |             |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |                |   |   |                |                                      |                          |          |             |  |
| \$  |                |   |   |                |                                      |                          |          |             |  |
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| b. Please charge my Deposit Account No. 13-2855                 | in the amount of \$ to cover the above fees.  |  |  |  |  |
| c. X The Commissioner is hereby authorized to charge any add    | A duplicate copy of this sheet is enclosed.  C. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit |  |  |  |  |
|   | of this sheet is enclosed.  ormation on this form may become public. Credit card information  |  |  |  |  |
| should not be included on this form. Provide credit ca          | ard information and authorization on PTO-2038 as not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be  |  |  |  |  |
| filed an granted to restore the International Application to pe |   |  |  |  |  |
| •   | •   |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:                                     | Signature N. Brashear   |  |  |  |  |
|   | · O   |  |  |  |  |
|   | Jeanne M. Brashear NAME   |  |  |  |  |
| CUSTOMER NUMBER: 04743  | 50.004  |  |  |  |  |
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Application No. (if known): Not Yet Assigned

Attorney Docket No.: 01017/41976

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Transmittal Letter to the United States Designated-Elected Office (3 pages)

Application Data Sheet (3 pages) 2<sup>nd</sup> copy of WO 2005/047478

Paper copy of sequence listing (pages 1-18)

Sequence Listing on diskette Return receipt postcard

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